

Please type a plus sign in this box



PTO/SB/01 (3-97)

Approved for use through 6/30/98. OMB 0651-0032
Patent and Trademark Office; US DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**DECLARATION FOR
UTILITY OR DESIGN
PATENT APPLICATION**

☐ Declaration
submitted with or
initial filing

☒ Declaration
submitted after
initial filing

Attorney Docket No.

SON-2217

First Named Inventor

Koichiro KISHIMA

COMPLETE IF KNOWN

Application No.

Filing Date

August 29, 2001

Group Art Unit

Examiner Name

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (only if one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**OPTICAL SYSTEM, METHOD OF PRODUCING OPTICAL SYSTEM, AND
OPTICAL PICKUP**

(Title of the Invention)

the specification of which

☐ is attached hereto
or

☒ was filed on August 29, 2001, as United States Application Number or PCT International Application Number: _____ and was amended on _____ (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations § 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code §119 (a)-(d) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YY)	Priority Not Claimed	Certified Copy Attached	
				YES	NO
P2000-265274	JAPAN	9/1/00		<input checked="" type="checkbox"/>	<input type="checkbox"/>
				<input type="checkbox"/>	<input type="checkbox"/>
				<input type="checkbox"/>	<input type="checkbox"/>
				<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

I hereby claim the benefit under Title 35, United States Code §119(e) of any United States provisional application(s) listed below.

Application Number (s)	Filing Date (MM/DD/YY)	<input type="checkbox"/> Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

DECLARATION - Utility Or Design Patent Application

I hereby claim the benefit under Title 35, United States Code §120 of any United States application(s) of any PCT International application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the matter provided by the first paragraph of Title 35, United States Code §112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

U.S Parent Application Number	PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)

☐ Additional U.S. or PCT international application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto

As a named inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith: ☐ Customer Number

or

☒ Registered practitioner(s) name/registration number listed below

Place Customer
Number Bar Code
Label Here

Name	Registration Number	Name	Registration Number
John E. McGarry	22,360	Joel E. Bair	33,356
H. Lawrence Smith	24,900	Richard D. Grauer	22,388
Ralph T. Rader	28,772	Michael D. Fishman	31,951
Joseph V. Coppola, Sr.	33,373	Mark A. Davis	37,118
Michael B. Stewart	36,018	Eugene G. Byrd	47,361
Alexander D. Rabinovich	37,425	Kristin L. Murphy	41,212
Kevin D. Rutherford	40,412	David K. Benson	42,314
Glenn E. Forbis	40,610	Christopher M. Tanner	41,518
Ronald P. Kananen	24,104	Robert S. Green	41,800
Matthew J. Russo	41,282	Brian K. Dutton	47,255
G. Thomas Williams	42,228		
Anna M. Shih	36,372		

☐ Additional registered practitioner(s) named on supplemental Registered Practitioner Information sheet PTO/SB/02C attached hereto.

Direct all correspondence to ☒ Customer Number 23353 or ☐ Correspondence Address below
or Bar Code Label

Attorney	Ronald P. Kananen, Esq.		
Firm Name	Rader, Fishman & Grauer PLLC		
Address	1233 20 th Street, N.W., Suite 501		
City, State, Zip	Washington, D.C. 20036		
Country	US	Telephone	202-955-3750
		Fax	202-955-3751

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name of First Inventor ☐ A petition has been filed for this unsigned inventor

Given Name (first and middle [if any])		Family Name or Surname	
Koichiro		KISHIMA	
Inventor's Signature			Dated
Residence: City	Kanagawa	State	Country JAPAN
Post Office Address	c/o Sony Corporation , 7-35, Kitashingawa 6- Chome		
City	Shinagawa-Ku	State	Country JAPAN

Name of Second Inventor ☐ A petition has been filed for this unsigned inventor

Given Name (first and middle [if any])		Family Name or Surname	
Akirau		KOUCHIYAMA	
Inventor's Signature			Dated
Residence: City	Kanagawa	State	Country JAPAN
Post Office Address	c/o Sony Corporation , 7-35, Kitashingawa 6- Chome		
City	Shinagawa-Ku	State	Country JAPAN

106280" 32504650